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DATE: November 20, 2008 TIME: 9:55 A.M. C/M No.: 021240.0801
FROM: Michael R. Turner PHONE: 312-827-1092 FAX: 312-269-1747
RE: Application No. 09/839,888 - Confirmation No. 5617

TO:

| NAME: | FAX No.: | PHONE No.: |
|-----------------------------------------------------------------------------------|--------------|--------------|
| Examiner Thu Thao Havan, Art Unit 3693 United States Patent & Trademark Office | 571-273-8300 | 571-272-8111 |

MESSAGE:

Examiner Havan:

Enclosed please find the proposed amendment that we plan to discuss in this afternoon's interview.

Michael R. Turner

CONFIDENTIALITY NOTICE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------|------------------------------------------------|-------------------|----------------|
| Applicant: | Kenneth Kiron et al. | Examiner: | Thu Thao Havan |
| Serial No.: | 09/839888 | Art Unit: | 3693 |
| Filing Date: | April 20, 2001 | Confirmation No.: | 5617 |
| Title: | OPEN END MUTUAL FUND SECURITIZATION PROCESS | Attorney Ref.: | 21240.01US5 |

RESPONSE TO OFFICE ACTION MAILED AUGUST 21, 2008

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a Reply to the Office Action mailed August 21, 2008. The original period of response to the Office Action is a shortened statutory period of three (3) months from the mailing date. Accordingly, the original time period for response is November 21, 2008. As evidenced by the Certificate of Electronic Transmission, this Reply is being timely submitted within the period for reply.

Applicants respectfully request reconsideration and allowance of the pending claims in the present Application in view of the Amendments and Remarks below.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.

Certificate of Electronic Transmission Under 37 CFR §1.8:

I hereby certify that this correspondence is being transmitted to the United States Patent and Trademark Office using the EFS-Web System on November __, 2008.

By: Marianne A. Hall/
Marianne A. Hall